



**RFK Compass Investors
Fall Summit on AI, Ethics, and Investments**

November 30, 2023

BNY Mellon Headquarters, 240 Greenwich Street, New York City

NOVEMBER 29

5 - 7 PM

PRE-SUMMIT RECEPTION

Networking Reception

Artesano, NY (90 Chambers St, NY, NY 10007)

NOVEMBER 30

SUMMIT AGENDA

8:30 AM

Registration and Networking Breakfast

9:05 AM

Welcome Remarks

Meaghan Muldoon, Chief Sustainability Officer, BNY Mellon

9:10 AM

Opening Remarks

Kerry Kennedy, President, RFK Human Rights

9:20 AM

Interactive Spotlight | Safeguarding AI

Featuring **Paul Barrett**, Deputy Director, Center for Business and Human Rights, NYU Stern School of Business

This discussion will distinguish between the short-term and long-term risks created by apps built on generative AI models. Barrett will argue that to prepare for potential existential threats presented by AI, we need to begin now to address risks such as "hallucination," bias, privacy violations, and lack of "interpretability."

9:40 AM

Fireside Chat | How AI is a Risky Ethical—and Investor—Bet

In conversation with **Dr. Alex Hanna**, Director of Research, Distributed AI Research Institute (DAIR) and **Anthony Enriquez**, VP, U.S. Advocacy and Litigation, RFK Human Rights

10:15 AM

Panel | Navigating the Perils and Pitfalls of AI in Action

**Agenda and speakers as of November 27, 2023. Confirmed speakers are listed above with more to come.*



This panel is an exploration of the potential harms of AI deployment (ie. racial profiling, policing, surveillance, etc.) and what societal obligations investors and companies have to prevent these human and civil rights infringements.

- **Anik Bose**, General Partner, Benhamou Global Ventures; Executive Director, Ethical AI Governance Group
- **Kade Crockford**, Director, Technology for Liberty Program, ACLU
- **Laura Moy**, Director, Communications & Technology Law Clinic, Georgetown University Law School
- **Amos Toh**, Senior Researcher, Artificial Intelligence and Human Rights, Human Rights Watch
- Moderator: **Mark Surman**, President, Mozilla Foundation

11:05 AM

Tea & Coffee Break

11:20 AM

Spotlight | Robot Proof: AI and the Future of Innovation

Featuring **Dr. Vivienne Ming**, Co-Founder, Socos Labs

11:40 AM

In Conversation | Implementing Ethical AI

Featuring **Skip McCormick**, Managing Director, Risk & Control, AI Hub, BNY Mellon; **Kirsten Mycroft**, Chief Privacy and Data Ethics Officer, BNY Mellon; **Jordan Romanoff**, Director and Managing Counsel, Co-Head Intellectual Property, BNY Mellon

12:15 PM

Panel | Exerting LP Rights: Responsible Technology and Accountability for Investors

This session will explore the role institutional investors play in managing and mitigating the human rights risks of emerging technology in their venture capital and direct investment portfolios. Speakers will discuss strategies available to mitigate these risks, build accountability for the tech ecosystem, and maximize portfolio value.

- **Michael Frerichs**, State Treasurer, State of Illinois
- **Brad Lander**, Comptroller, New York City
- **Erin Harkless Moore**, Senior Director of Investment, Pivotal Ventures
- **Emily Stephens**, Shareholder, Greenberg Traurig
- Moderator: **Shu Dar Yao**, Founder and Managing Partner, Lucid Capitalism

1:00 PM

Networking Buffet Lunch

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2:00 PM

Panel | Leveraging AI for Operational Efficiency

Speakers from 4 asset managers in the alternative space, covering different asset classes, will discuss how they are using AI to enhance their people and performance deliverables.

- **Soraya Darabi**, General Partner and Founder, TMV
- **John W. Lin**, Operations Director, TPG Capital
- **Eli Mendoza**, Partner, Siris Capital
- Moderator: **Diana Meditz**, Director, AI Product, BNY Mellon

2:50 PM

Interactive Breakouts | Using AI to Mitigate Harms

Divided into 4-5 breakout sessions, attendees will explore the impactful investment opportunities AI can unlock and tools to mitigate some of AI's inherent risks. Each session will be facilitated by industry experts from tech companies, venture capital firms, and civil society organizations.

- **Trust and Safety:** *This session introduces the field of Trust and Safety (T&S), covering investable opportunities within T&S tooling, best practices for establishing greater trust and safety guardrails within Tech, and the role AI plays in this space.*
 - **Kat Duffy**, Senior Fellow, Council on Foreign Relations
- **Climate Solutions:** *This session explores the intersection of AI and climate solutions, initiating conversations among investors and civil society leaders about investable opportunities and the environmental downsides associated with these novel technologies.*
 - **Dave Easton**, Partner, Generation Investment Management
 - **Jonathan Martin**, President, WEKA
- **Planning for Ethical Risks:** *This custom workshop focuses on conducting Ethical Risk Assessments, particularly in the context of AI. It equips participants with actionable steps and tools to effectively mitigate potential ethical risks associated with disruptive technology.*
 - **Lyel Resner**, Visiting Faculty & Head of Public Interest Technology Studio, Cornell Tech
- **Health Solutions:** *This session delves into the intersection of AI and health solutions, initiating conversations among investors and civil society leaders about investable opportunities and the ethical risks associated with these novel technologies.*
 - **Dr. Christina Jenkins**, General Partner, Convergent Ventures
 - **Elliott Green**, CEO and Co-Founder, Dandelion Health AI



- **Legal Tools: How to Exert Your LP Rights:** *This interactive session explores both the legal challenges AI can and can't solve, and the legal tools that investors can leverage to enhance transparency and accountability within their tech portfolios.*
 - **Nadia Dugal**, Co-Founder, Tome

4:15 PM

Panel | Rethinking Work: AI's Impact on Labor - Issues and Opportunities

This panel will spotlight the intersections of AI and labor by tracing the lifecycle of artificial intelligence technologies from inception to implementation. Speakers will discuss and debate the impact of AI on labor, as well as mechanisms to build with workers and working-class communities.

- **Dr. Ifeoma Ajunwa**, Professor of Law, Emory University School of Law; Author, *The Quantified Worker*
- **Catherine Bracy**, Co-Founder and CEO, TechEquity Collaborative
- **Renee Morgan**, Social Justice Director, Adasina Social Capital
- Moderator: **Dr. Virginia Doellgast**, Anne Evans Estabrook Professor, ILR School, Cornell University

5:00 PM

Wrap-Up and Next Steps

Shu Dar Yao, Founder and Managing Partner, Lucid Capitalism

5:15 PM

Closing Remarks

Fanta NGom, Director, Business and Human Rights, RFK Human Rights

5:20 PM

Networking Reception

Remarks by Roman Regelman, CEO of Securities Services and Digital, BNY Mellon